Form 5500

Department of the Treasury Internal Revenue Service

Department of Labor Employee Benefits Security Administration

Pension Benefit Guaranty Corporation

Annual Return/Report of Employee Benefit Plan

This form is required to be filed for employee benefit plans under sections 104 and 4065 of the Employee Retirement Income Security Act of 1974 (ERISA) and sections 6047(e), 6057(b), and 6058(a) of the Internal Revenue Code (the Code).

▶ Complete all entries in accordance with the instructions to the Form 5500.

OMB Nos. 1210-0110 1210-0089

2015

This Form is Open to Public Inspection

Part I	Annual Report Id	lentification Information		1			
For calen	dar plan year 2015 or fisc	cal plan year beginning 01/01/2015		and ending 12/31/2015			
A This re	eturn/report is for:	a multiemployer plan;		oloyer plan (Filers checking this mployer information in accordar	s box must attach a list of noce with the form instructions); or		
		X a single-employer plan;	a DFE (specify	y)			
B This re	eturn/report is:	the first return/report;	the final return	/report;			
	•	an amended return/report;	a short plan ye	ear return/report (less than 12 m	onths).		
C If the	olan is a collectively-barg	ained plan, check here				• X	
D Check	box if filing under:	X Form 5558;	automatic exter	nsion;	the	e DFVC program;	
	special extension (enter description)						
Part I	Basic Plan Info	ormation—enter all requested inform	nation				
1a Nam	e of plan	NTARY ACCIDENTAL LOSS INSURAN			1b	Three-digit plan number (PN) ▶	512
					1c	Effective date of pl 10/01/1996	an
		er, if for a single-employer plan) , apt., suite no. and street, or P.O. Box)		2b	Employer Identifica Number (EIN)	ation
City	or town, state or province	, country, and ZIP or foreign postal cod		uctions)		22-3408857	
ALCATEL	-LUCENT USA INC.				2c	Plan Sponsor's telenumber	•
						908-582-714	
	NTAIN AVENUE, ROOM HILL, NJ 07974	6D-401A			2d	2d Business code (see instructions) 334200	
						334200	
Caution:	A penalty for the late o	r incomplete filing of this return/repo	ort will be assessed	unless reasonable cause is es	stablis	shed.	
		er penalties set forth in the instructions, ell as the electronic version of this retur					
Ciaiomon	to and attachments, ac w				10 0	40, 0011001, 4114 001	iipioto.
SIGN	Filed with authorized/valid	d electronic signature.	07/28/2016	CAREY SETTLE			
HERE	Signature of plan admi		Date	Enter name of individual signi	na as	nlan administrator	
	Orginatare or plan dami	motrato.	Date	Enter name of marviadal signi	ing ao	plan administrator	
SIGN							
HERE	Signature of employer	/plan sponsor	Date	Enter name of individual signi	ng as	employer or plan sp	onsor
SIGN HERE							
Signature of DFE Date Enter name of individual signin							
Preparer'	s name (including firm na	me, if applicable) and address (include	room or suite numbe	r) Prepa	arer's	telephone number	

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3a	Plan administrator's name and address Same as Plan Sponsor			3b Administra	ator's EIN
				3c Administra	ator's telephone
4	If the name and/or EIN of the plan sponsor has changed since the last return EIN and the plan number from the last return/report:	n/report filed for	this plan, enter the name,	4b EIN	
а	Sponsor's name			4c PN	
5	Total number of participants at the beginning of the plan year			5	5540
6	Number of participants as of the end of the plan year unless otherwise stated 6a(2), 6b, 6c, and 6d).	d (welfare plans	s complete only lines 6a(1),		
a(1) Total number of active participants at the beginning of the plan year			6a(1)	5540
a(2	7) Total number of active participants at the end of the plan year			6a(2)	5004
b	Retired or separated participants receiving benefits			. 6b	0
С	Other retired or separated participants entitled to future benefits			. 6с	0
d	Subtotal. Add lines 6a(2), 6b, and 6c.			. 6d	5004
е	Deceased participants whose beneficiaries are receiving or are entitled to re-	ceive benefits.		. 6e	
f	Total. Add lines 6d and 6e			. 6f	
g	Number of participants with account balances as of the end of the plan year complete this item)			. 6g	
h	Number of participants that terminated employment during the plan year with less than 100% vested			6h	
7	Enter the total number of employers obligated to contribute to the plan (only	multiemployer	plans complete this item)	7	
	If the plan provides pension benefits, enter the applicable pension feature could be plan provides welfare benefits, enter the applicable welfare feature code 4B	les from the Lis	st of Plan Characteristics Code	s in the instructi	
9a	Plan funding arrangement (check all that apply) (1)	9b Plan bei (1)	nefit arrangement (check all tha	at apply)	
	(2) Code section 412(e)(3) insurance contracts	(2)	Code section 412(e)(3)	insurance contr	acts
	(3) Trust	(3)	Trust		
10	(4) General assets of the sponsor Check all applicable boxes in 10a and 10b to indicate which schedules are a	(4)	General assets of the sp		Con instructions)
		_		ver allacrieu. (see instructions)
а	Pension Schedules (1) R (Retirement Plan Information)	b Genera (1)	Il Schedules H (Financial Inform	nation)	
	(2) MB (Multiemployer Defined Benefit Plan and Certain Money Purchase Plan Actuarial Information) - signed by the plan actuary	(2) (3) (4)	I (Financial Inform A (Insurance Inform C (Service Provide	mation)	rlan)
	(3) SB (Single-Employer Defined Benefit Plan Actuarial Information) - signed by the plan actuary	(5) (6)	D (DFE/Participati G (Financial Trans	_	

Form 550	900 (2015) Page 3				
Part III	Form M-1 Compliance Information (to be completed by welfare benefit plans)				
2520.101-2	11a If the plan provides welfare benefits, was the plan subject to the Form M-1 filing requirements during the plan year? (See instructions and 29 CFR 2520.101-2.)				
11b Is the plan currently in compliance with the Form M-1 filing requirements? (See instructions and 29 CFR 2520.101-2.)					
11c Enter the Receipt Confirmation Code for the 2015 Form M-1 annual report. If the plan was not required to file the 2015 Form M-1 annual report, enter the Receipt Confirmation Code for the most recent Form M-1 that was required to be filed under the Form M-1 filing requirements. (Failure to enter a valid Receipt Confirmation Code will subject the Form 5500 filing to rejection as incomplete.)					

Receipt Confirmation Code__

SCHEDULE A (Form 5500)

Department of the Treasury Internal Revenue Service

Department of Labor Employee Benefits Security Administration

Pension Benefit Guaranty Corporation

Insurance Information

This schedule is required to be filed under section 104 of the Employee Retirement Income Security Act of 1974 (ERISA).

File as an attachment to Form 5500.

▶ Insurance companies are required to provide the information pursuant to ERISA section 103(a)(2).

OMB No. 1210-0110

2015

This Form is Open to Public

					opootio
For calendar plan year 20°	15 or fiscal plar	year beginning 01/01/2015	and e	ending 12/31/2015	
A Name of plan ALCATEL-LUCENT SUPP	PLEMENTARY	ACCIDENTAL LOSS INSURAN	ICE DLAN	ree-digit an number (PN)	512
C Plan sponsor's name as shown on line 2a of Form 5500 ALCATEL-LUCENT USA INC. D Employer Identification Number 22-3408857				er (EIN)	
			Coverage, Fees, and Con a unit in Parts II and III can be re		
1 Coverage Information:					
(a) Name of insurance ca METROPOLITAN LIFE INS		MPANY			
	(c) NAIC	(d) Contract or	(e) Approximate number of	Policy or	contract year
(b) EIN	code	identification number	persons covered at end of policy or contract year	(f) From	(g) To
13-5581829	65978	95084-G	5017	01/01/2015	12/31/2015
2 Insurance fee and communication descending order of the		ation. Enter the total fees and to	tal commissions paid. List in line	3 the agents, brokers, and	other persons in
(a) Total a	amount of comr	missions paid	(b) -	Total amount of fees paid	
0 286					286
3 Persons receiving com	missions and fe	ees. (Complete as many entries	s as needed to report all persons).		
	(a) Name a	nd address of the agent, broker	, or other person to whom commis	ssions or fees were paid	
AON CONSULTING INC			DX 905494 LOTTE, NC 28290-5494		
(b) Amount of sales ar	nd base	Fe	es and other commissions paid		
commissions pai	d	(c) Amount	(d) Purpo	(e) Organization code	
		286 S	UPPLEMENTAL COMPENSATION IONETARY COMPENSATION	ON ADMIN FEES NON-	3
	(a) Name a	nd address of the agent, broker	, or other person to whom commis	ssions or fees were paid	
(b) Amount of sales ar	nd base	Fe	es and other commissions paid		
commissions pai		(c) Amount	(d) Purpo	se	(e) Organization code
For Panerwork Reduction	n Act Notice a	nd OMB Control Numbers se	e the instructions for Form 550	1	•

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Schedule A (Form 5500)	2015	Page 2 - 1			
(a) Na	ame and address of the agent, broke	er, or other person to whom commissions or fees were paid			
		.,,			
			1		
(b) Amount of sales and base		Fees and other commissions paid	(e) Organization		
commissions paid	(c) Amount	(d) Purpose	code		
(a) Na	ame and address of the agent, broke	er, or other person to whom commissions or fees were paid			
	T				
(b) Amount of sales and base		Fees and other commissions paid	(e) Organization		
commissions paid	(c) Amount	(d) Purpose	code		
(a) Na	ame and address of the agent, broke	er, or other person to whom commissions or fees were paid			
	T		1		
(b) Amount of sales and base commissions paid	(c) Amount	Fees and other commissions paid (d) Purpose	(e) Organization code		
commissions paid	(C) Amount	(u) Fulpose	code		
(a) Na	ame and address of the agent, broke	er, or other person to whom commissions or fees were paid			
		East and other commissions paid			
(b) Amount of sales and base commissions paid	(c) Amount	Fees and other commissions paid (d) Purpose	(e) Organization code		
	(c) · · · · · · ·	(2) 2 2 2			
(a) Na	ame and address of the agent, broke	er, or other person to whom commissions or fees were paid			
		Fees and other commissions paid			
(b) Amount of sales and base commissions paid	(c) Amount	(d) Purpose	(e) Organization code		
-	,,				
			ı		

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uq		•

P	art I	Investment and Annuity Contract Information Where individual contracts are provided, the entire group of such indivithis report.	idual contracts witl	h each carrier may be treated as a unit fo	or purposes of
4	Curr	ent value of plan's interest under this contract in the general account at year	end	4	
		ent value of plan's interest under this contract in separate accounts at year e			
_		tracts With Allocated Funds:			
	а	State the basis of premium rates			
	b	Premiums paid to carrier			
	C	Premiums due but unpaid at the end of the year			
	d	If the carrier, service, or other organization incurred any specific costs in corretention of the contract or policy, enter amount		· DO	
		Specify nature of costs			
	_	т (и) Положения (
	е	Type of contract: (1) individual policies (2) group deferred	d annuity		
		(3) other (specify)			
_	f	If contract purchased, in whole or in part, to distribute benefits from a termin			
1		tracts With Unallocated Funds (Do not include portions of these contracts ma			
	а		ite participation gu	arantee	
		(3) guaranteed investment (4) other			
	L	Delegand the conduction and state an		76	
	b C	Balance at the end of the previous year		7b	
	C	(2) Dividends and credits	7c(1)		
		(3) Interest credited during the year			
		(4) Transferred from separate account	7c(4)		
		(5) Other (specify below)	7c(5)		
)			
		(6)Total additions		7c(6)	0
	d	Total of balance and additions (add lines 7b and 7c(6))	<u></u>	7d	0
	е	Deductions:			
		(1) Disbursed from fund to pay benefits or purchase annuities during year	7e(1)		
		(2) Administration charge made by carrier	. 7e(2)		
		(3) Transferred to separate account	. 7e(3)		
		(4) Other (specify below)	. 7e(4)		
		•			
	_	(5) Total deductions			0
	f	Balance at the end of the current year (subtract line 7e(5) from line 7d)		7f	0

Page 4	<u></u>	
ame employer(s) or members of the experience-rated as a unit. Whated as a unit for purposes of this	nere contrac	
c ☐ Vision g ☐ Supplemental unem k ☐ PPO contract	ployment	d ☐ Life insurance h ☐ Prescription drug I ☐ Indemnity contract
9a(1)	44509	09
9a(2)		
9a(3)	. 9a(4)	44509
9b(1)	218323	
9b(2)	-1256618	8
	. 9b(3)	-1038295
	. 9b(4)	-1038295

829

726

263

1080986

Pa	art III	Welfare Benefit Contract Informa	tion					
		If more than one contract covers the same g information may be combined for reporting p the entire group of such individual contracts:	urposes if such contracts a	re experien	ce-rated as a unit. Wher	e contract		
8	Benef	it and contract type (check all applicable boxes)						
	а	Health (other than dental or vision)	b Dental	С	Vision		d Life ins	urance
	e 🗌	Temporary disability (accident and sickness)	f Long-term disability	g [Supplemental unemplo	oyment	h Prescri	ption drug
	i 🗌	Stop loss (large deductible)	j HMO contract	k	PPO contract		I Indemn	nity contract
	m×	Other (specify) ACCIDENTAL DEATH & DI	SMEMBERMENT	_	_		_	
9	Experi	ence-rated contracts:						
	a Pr	emiums: (1) Amount received		9a(1)		44509		
	(2	2) Increase (decrease) in amount due but unpai	d	9a(2)				
	(3	3) Increase (decrease) in unearned premium res	serve	9a(3)				
	(4	4) Earned ((1) + (2) - (3))	<u>.</u>			9a(4)		4450
	b E	Benefit charges (1) Claims paid		9b(1)		218323		
	(2	2) Increase (decrease) in claim reserves		9b(2)		-1256618		
	(3	3) Incurred claims (add (1) and (2))				9b(3)		-103829
	(4	1) Claims charged				9b(4)		-103829
	C F	Remainder of premium: (1) Retention charges (on an accrual basis)					

		(H) Total retention	9c(1)(H)	1082804
		(2) Dividends or retroactive rate refunds. (These amounts were paid in cash, or credited.)	9c(2)	
	d	Status of policyholder reserves at end of year: (1) Amount held to provide benefits after retirement	9d(1)	
		(2) Claim reserves	9d(2)	10169
		(3) Other reserves	9d(3)	5504081
	е	Dividends or retroactive rate refunds due. (Do not include amount entered in line 9c(2).)	9e	
10	No	onexperience-rated contracts:		
	а	Total premiums or subscription charges paid to carrier	10a	
	b	If the carrier, service, or other organization incurred any specific costs in connection with the acquisition or retention of the contract or policy, other than reported in Part I, line 2 above, report amount	10b	

9c(1)(A)

9c(1)(B)

9c(1)(C)

9c(1)(D)

9c(1)(E)

9c(1)(F)

9c(1)(G)

Specify nature of costs

Schedule A (Form 5500) 2015

Part	IV	Provision of Information			
11 [Did the	e insurance company fail to provide any information necessary to complete Schedule A?	Yes	X	No

(A) Commissions.....

(B) Administrative service or other fees

(C) Other specific acquisition costs.....

(D) Other expenses.....

(E) Taxes.....

(F) Charges for risks or other contingencies

(G) Other retention charges

¹² If the answer to line 11 is "Yes," specify the information not provided.