

## **Summary of Material Modifications Lucent Supplemental Healthcare Benefits Plan for Formerly Represented Retirees**

The following is a Summary of Material Modifications to the plan document and Summary Plan Description (“SPD”) for the Lucent Supplemental Healthcare Benefits Plan for Formerly Represented Retirees (the “Plan”) in which formerly represented retirees and their eligible dependents (who participate in the Alcatel-Lucent Medical Expense Plan for Retired Employees, the Alcatel-Lucent Dental Expense Plan for Retired Employees, or their successor plans) are participants.

We are required under the Employee Retirement Income Security Act of 1974 (ERISA) to provide this Summary of Material Modifications.

The full SPD for this Plan and other benefit plans are available on the Benefit Answers Plus website at <http://www.benefitanswersplus.com>.

### **Summary of Material Modifications**

The **Description of Benefits** is updated as follows:

- For calendar year 2014, \$9,633,740 from the Trust shall be used to provide retiree healthcare benefits under the Plan.

Signed,

*The Board of Trustees of the Lucent Supplemental Healthcare Benefits Trust for Formerly Represented Retirees*

## **Summary of Material Modifications**

### **Alcatel-Lucent Medical Expense Plan for Retired Employees and Alcatel-Lucent Dental Expense Plan for Retired Employees**

This notice, called a Summary of Material Modifications (“SMM”) with effective dates as provided herein, advises you of changes in the information presented in your Summary Plan Description (sometimes called an “SPD”) for each the Alcatel-Lucent Medical Expense Plan for Retired Employees and the Alcatel-Lucent Dental Expense Plan for Retired Employees (each, the “Plan” and collectively, the “Plans”), each a component of the Alcatel-Lucent Retiree Welfare Benefits Plan. Receipt of this SMM does not necessarily mean that you are entitled to benefits under either Plan. In order to be eligible for benefits under either Plan, you must meet the eligibility requirements of the applicable Plan. Please read this notice and retain a copy for your records.

The changes are as follows:

1. Effective as of January 1, 2014, in each Summary Plan Description, the definition for the term “Lawful Spouse” is revised as follows:

**Lawful Spouse:** the person to whom you are lawfully married. Your Lawful Spouse can be a person of the same sex if you and such other person were lawfully married in a jurisdiction that recognizes same-sex marriage (even if you or your spouse reside in a state or other jurisdiction that does not recognize such same-sex marriages). Note: The term Lawful Spouse does not include individuals (whether of the same or opposite sex) who have entered into a registered domestic partnership, a civil union, or other similar formal relationship recognized under state or other law that is not denominated as a “marriage.”

If you have not already done so and wish to enroll a Lawful Spouse as an Eligible Dependent for coverage under the Plan in year 2014, please call the Alcatel-Lucent Benefits Center between December 2 and December 9, 2013 at phone number 1-888-232-4111.

2. Effective as of July 1, 2013, the eligibility provisions contained in the Summary Plan Description for the Alcatel-Lucent Medical Expense Plan for Retired Employees have been modified to add that recipients of benefits under the Alcatel-Lucent Long Term Disability Plan for Occupational Employees (formerly known as the Lucent Technologies Inc. Long Term Disability for Occupational Employees) are eligible for benefits under the Plan subject to the requirements of the Plan.
3. Effective as of July 1, 2013, in each Summary Plan Description, “Alcatel-Lucent Managed Solutions LLC” is deleted as a Participating Company.

**Note:** This SMM describes changes to the above listed Plans that are effective as provided herein. In the case of a discrepancy between this SMM and the official plan document for a Plan, the official plan document shall control.